

Registered Representative data form



Mail to: P.O. Box 9261, Des Moines, IA 50306-9261
Overnight: 8300 Mills Civic Pkwy, West Des Moines, IA 50266-3833
Phone: 1-866-747-3421 | Fax: 1-855-809-8209 | FIContracting@sfgmembers.com

REP CODE NUMBER: _____ (HOME OFFICE USE ONLY)

1. Registered Representative information

Please provide all requested information.

Registered Representative's name (first, middle initial, last)			Date of birth (mm/dd/yyyy)
Line of business <input checked="" type="checkbox"/> Mutual Fund	Social Security number	National producer number	CRD number
Residence Address (Street, City, State, and ZIP)			
Email (required)		Residence phone number	Cell phone number
Professional designation <input type="checkbox"/> CLU <input type="checkbox"/> CHFC <input type="checkbox"/> LUTCF <input type="checkbox"/> CFP		Securities licenses <input type="checkbox"/> 6 <input type="checkbox"/> 7 <input type="checkbox"/> 24 <input type="checkbox"/> 26 <input type="checkbox"/> 63	

2. Business information

Business name (DBA)		TIN
Business address (Street, City, State, and ZIP)		
Business phone number		Business fax
Preferred mailing <input type="checkbox"/> Residence address <input type="checkbox"/> Business address	Preferred contact <input type="checkbox"/> Residence phone <input type="checkbox"/> Business phone <input type="checkbox"/> Cell phone <input type="checkbox"/> Email	
Broker/Dealer name		

3. Signatures

By signing below, I certify and acknowledge that the information provided on this form is correct.

Taxpayer certification

Under penalty of perjury, my signature certifies that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me);
2. I am not subject to backup withholding because (a) I am exempt from backup withholding, (b) I have not been notified by the Internal Revenue Service that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding;
3. I am a U.S. citizen or U.S. resident alien; and
4. I am exempt from FATCA reporting.

Registered Representative's signature	Date signed (mm/dd/yyyy)
<input type="text"/>	<input type="text"/>

UMB Bank n.a. is the custodian for the LiveWell® Mutual Fund IRA Series. The mutual funds offered through the LiveWell® Mutual Fund IRA Series are made available through Sammons Financial Network®, LLC., member FINRA, 8300 Mills Civic Parkway, West Des Moines, IA 50266. Information about the LiveWell® Mutual Fund IRA Series and its funds can be obtained by calling 866-747-3421.

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