

# Allocation Change Request Form

LiveWell® Mutual Fund IRA Series

**SAMMONS**  
Retirement Solutions®

**Sammons Retirement Solutions®**  
P.O. Box 758547, Topeka, KS 66675-8547

**Overnight:** Mail Zone 547, 5801 SW 6th Ave.  
Topeka, KS 66636-1001

**Phone:** 1-866-747-3421 | **Fax:** 1-866-511-7038

## Instructions

Use this form to transfer between fund(s) or model(s); change current or future allocations; or to elect, change, or cancel Automatic Portfolio Rebalancing.

## 1. Account Information

Please provide all requested information.

Account Number

Account Holder's Name (first, middle initial, last)

SSN

Street Address

Apartment/Suite Number

City

State

ZIP

Phone Number

## 2. Fund Transfer

Transfer all or a portion of the amount invested in fund(s) or model(s) to one or more fund(s) or model(s). This will not alter allocations for future investments in your Account unless you select the applicable option under Portfolio Reallocation. Please use EITHER dollar amounts or percentages.

Percentage	OR	Dollar Amount	From (Source Fund or Model)	To (Destination Fund or Model)
1.	% or \$			
2.	% or \$			
3.	% or \$			
4.	% or \$			
5.	% or \$			
6.	% or \$			
7.	% or \$			
8.	% or \$			
9.	% or \$			
10.	% or \$			

## 3. Portfolio Reallocation

For questions or assistance, please call the Service Center at 866-747-3421. Check all that apply.

A. Please update one or more of the following:

- Current allocations** - Reallocate my portfolio immediately upon receipt of this request.
- Future allocations** - Allocate future contributions according to the percentages indicated on this form.

B. Automatic Portfolio Rebalancing (check one):

- Elect Automatic Portfolio Rebalancing** - The Account Value will rebalance to the portfolios selected in the investment elections section. Rebalancing will occur on the **Account Anniversary** of the month according to the elected frequency. If a frequency is not selected, the default is quarterly. Please consult your prospectus for details regarding this feature, as well as restrictions, minimum or maximum limitations, and other applicable information. Automatic Portfolio Rebalancing cannot be elected if you participate in Dollar Cost Averaging.

Please indicate frequency:  Quarterly  Semi-Annually  Annually

- Change Automatic Portfolio Rebalancing** - Change the designated investment options for any existing program to match the investment options indicated on this form. Change the designated frequency for an existing program to match this form. Please indicate frequency:  Quarterly  Semi-Annually  Annually
- Cancel Automatic Portfolio Rebalancing** - Continue to signature section.



## 4. Investment Options

Please designate your allocations in whole percentages only. No matter which investment options are chosen, the total allocations must equal 100%, or the application will not be considered in good order.

### LiveWell® Models

Accumulation	Percent	Income	Percent
Conservative	_____ %	High Income	_____ %
Moderate	_____ %	Diversified Income	_____ %
Moderate Growth	_____ %		
Growth	_____ %		
Aggressive Growth	_____ %		



*The model portfolios will not automatically rebalance unless Automatic Portfolio Rebalancing is elected.*

### RISK-BASED ALLOCATION FUNDS

TICKER	FUND NAME	PERCENT
FEYTX	Fidelity Advisor Asset Manager 85% M	_____ %
FTASX	Fidelity Advisor Asset Manager 70% M	_____ %
FFTMX	Fidelity Advisor Asset Manager 50% M	_____ %
FTTNX	Fidelity Advisor Asset Manager 30% M	_____ %

TICKER	INVESTMENT OPTIONS	PERCENT
<b>LARGE VALUE</b>		
AVURX	American Century Value R	_____ %
MDDVX	BlackRock Equity Dividend A	_____ %
RSOOX	Columbia Dividend Opportunity R	_____ %
SLVRX	Columbia Select Large-Cap Value R	_____ %
JDVOX	JHancock Disciplined Value R1	_____ %
LAVRX	Lord Abbett Fundamental Equity R3	_____ %
PQIRX	Pioneer Equity Income R	_____ %
PWDAX	Power Dividend Index A	_____ %
<b>LARGE BLEND</b>		
AFDRX	American Century Sustainable Equity R	_____ %
AICFX	American Funds Invmt Co of Amer F1	_____ %
WSHFX	American Funds Washington Mutual F1	_____ %
LMMRX	ClearBridge Dividend Strategy R	_____ %
CCCRX	Columbia Contrarian Core R	_____ %
FALGX	Fidelity Advisor Large Cap M	_____ %
FRDRX	Franklin Rising Dividends R	_____ %
OMGNX	Invesco Oppenheimer Main Street R	_____ %
JLCRX	JHancock Fundamental Large Cap Core R1	_____ %
MFVNX	MassMutual Select Equity Opports R3	_____ %
MMINX	MM S&P 500® Index R3	_____ %
PSPRX	PIMCO StocksPLUS R	_____ %
<b>LARGE GROWTH</b>		
ACARX	Alger Capital Appreciation Instl R	_____ %
ADRRX	American Century Disciplined Growth R	_____ %
AULRX	American Century Ultra R	_____ %
GFAFX	American Funds Growth Fund of Amer F1	_____ %
LMPLX	ClearBridge Large Cap Growth R	_____ %
FAGOX	Fidelity Advisor Growth Opportunities M	_____ %
FNITX	Fidelity Advisor New Insights M	_____ %

### TARGET DATE ALLOCATION FUNDS

TICKER	FUND NAME	PERCENT
ARBRX	American Century One Choice 2020 R	_____ %
ARCRX	American Century One Choice 2030 R	_____ %
ARDRX	American Century One Choice 2040 R	_____ %
ARFWX	American Century One Choice 2050 R	_____ %
ARSRX	American Century One Choice in Retirement R	_____ %

TICKER	INVESTMENT OPTIONS	PERCENT
<b>MID VALUE</b>		
FDNRX	Franklin DynaTech R	_____ %
WLGRX	Ivy Large Cap Growth R	_____ %
MBCNX	MassMutual Select Blue Chip Growth R3	_____ %
PFGRX	Pioneer Fundamental Growth R	_____ %
RRBGX	T. Rowe Price Blue Chip Growth R	_____ %
RRGSX	T. Rowe Price Growth Stock R	_____ %
<b>MID BLEND</b>		
AMVRX	American Century Mid Cap Value R	_____ %
MIDQX	DWS Mid Cap Value R	_____ %
JDPRX	Janus Henderson Mid Cap Value R	_____ %
IIVAX	Transamerica Small/Mid Cap Value A	_____ %
<b>MID GROWTH</b>		
ERSMX	Eaton Vance Atlanta Capital SMID-Cap R	_____ %
KAUFX	Federated Kaufmann R	_____ %
FITIX	Fidelity Advisor Mid Cap II M	_____ %
OEGNX	Invesco Oppenheimer Discovery Mid Cap Growth R	_____ %
WMGRX	Ivy Mid Cap Growth R	_____ %
<b>SMALL VALUE</b>		
FCVTX	Fidelity Advisor Small Cap Value M	_____ %
JDSRX	Janus Henderson Small Cap Value R	_____ %
ROFRX	Royce Opportunity R	_____ %
<b>SMALL BLEND</b>		
OSCNX	Invesco Oppenheimer Main Street Small Cap R	_____ %
IYSMX	Ivy Small Cap Core R	_____ %

*Investment Options continued on page 3 ►*

#### 4. Investment Options (Continued)

Please designate your allocations in whole percentages only. No matter which investment options are chosen, the total allocations must equal 100%, or the application will not be considered in good order.

TICKER	INVESTMENT OPTIONS	PERCENT
<b>SMALL GROWTH</b>		
LMPOX	ClearBridge Small Cap Growth R	_____ %
FKKSX	Federated Kaufmann Small Cap R	_____ %
WSGRX	Ivy Small Cap Growth R	_____ %
JGMRX	Janus Henderson Triton R	_____ %
<b>INTERNATIONAL LARGE</b>		
AEAFX	American Funds Europacific Growth F1	_____ %
LMGRX	ClearBridge International Growth R	_____ %
OIDNX	Invesco Oppenheimer International Diversified R	_____ %
QIVNX	Invesco Oppenheimer International Equity R	_____ %
OIGNX	Invesco Oppenheimer International Growth R	_____ %
IYITX	Ivy International Core Equity R	_____ %
LIRRX	Lord Abbett International Value R3	_____ %
RRIGX	T. Rowe Price International Value Equity R	_____ %
TEFRX	Templeton Foreign R	_____ %
TRWAX	Transamerica International Equity A	_____ %
<b>INTERNATIONAL SMALL</b>		
WPVAX	Aberdeen International Small Cap R	_____ %
OSMNX	Invesco Oppenheimer International Small-Mid Company R	_____ %
<b>WORLD STOCK</b>		
NPFFX	American Funds New Perspective F1	_____ %
MDGCX	BlackRock Advantage Global A	_____ %
FMURX	Franklin Mutual European R	_____ %
OGLNX	Invesco Oppenheimer Global R	_____ %
GLVNX	Invesco Oppenheimer Global Focus R	_____ %
OGINX	Invesco Oppenheimer Global Opportunities R	_____ %
JDWRX	Janus Henderson Global Research R	_____ %
<b>EMERGING MARKETS</b>		
GOPRX	Aberdeen China A Share Equity Fund R	_____ %
GEMRX	Aberdeen Emerging Markets R	_____ %
AEMRX	American Century Emerging Markets R	_____ %
TDMRX	Templeton Developing Markets R	_____ %
FTESX	Templeton Emerging Markets Small Cap R	_____ %
<b>COMMODITIES/PRECIOUS METALS</b>		
OGMNX	Invesco Oppenheimer Gold & Special Minerals R	_____ %
PCSRX	PIMCO Commodity Real Return Strategy R	_____ %
<b>COMMUNICATIONS</b>		
FTUTX	Fidelity Advisor Telecommunications M	_____ %
<b>ENERGY/NATURAL RESOURCES</b>		
FMFTX	Fidelity Advisor Materials M	_____ %
IYEFX	Ivy Energy R	_____ %
IGNRX	Ivy Natural Resources R	_____ %

TICKER	INVESTMENT OPTIONS	PERCENT
<b>FINANCIAL</b>		
FAFSX	Fidelity Advisor Financial Services M	_____ %
<b>HEALTH</b>		
ERHSX	Eaton Vance Worldwide Health Science R	_____ %
FBTTX	Fidelity Advisor Biotechnology M	_____ %
<b>INDUSTRIALS</b>		
FCLTX	Fidelity Advisor Industrials M	_____ %
<b>REAL ESTATE</b>		
FHETX	Fidelity Advisor Real Estate M	_____ %
<b>TECHNOLOGY</b>		
SGTRX	Columbia Seligman Global Technology R	_____ %
FATEX	Fidelity Advisor Technology M	_____ %
WSTRX	Ivy Science & Technology R	_____ %
<b>UTILITIES</b>		
FRURX	Franklin Utilities R	_____ %
<b>BALANCED</b>		
CSIFX	Calvert Balanced A	_____ %
CBURX	Columbia Income Builder R	_____ %
ERIFX	Eaton Vance Balanced R	_____ %
FTSDX	Fidelity Advisor Strategic Div & Inc M	_____ %
FISRX	Franklin Income R	_____ %
TESRX	Franklin Mutual Shares R	_____ %
JDBRX	Janus Henderson Balanced R	_____ %
LIXRX	Lord Abbett Multi-Asset Income R3	_____ %
IBALX	Transamerica Multi-Managed Balanced A	_____ %
<b>WORLD ALLOCATION</b>		
MDLOX	BlackRock Global Allocation A	_____ %
FMQSQ	Franklin Mutual Quest R	_____ %
<b>TACTICAL</b>		
GASRX	Aberdeen Diversified Alternatives R	_____ %
GLSRX	Aberdeen Focused U.S. Equity R	_____ %
ALIRX	AC Alternatives Equity Market Neutral R	_____ %
LPERX	ALPS   Red Rocks Listed Private Equity R	_____ %
PATRX	PIMCO All Asset R	_____ %
IMUAX	Transamerica Multi-Manager Alternative Strategies A	_____ %
<b>SHORT-TERM BOND</b>		
LDLTX	Lord Abbett Short Duration Income R3	_____ %
MSDNX	MassMutual Premier Short-Duration Bd R3	_____ %
PLDRX	PIMCO Low Duration R	_____ %
PTSRX	PIMCO Short-Term R	_____ %

Investment Options continued on page 4 ►

#### 4. Investment Options (Continued)

Please designate your allocations in whole percentages only. No matter which investment options are chosen, the total allocations must equal 100%, or the application will not be considered in good order.

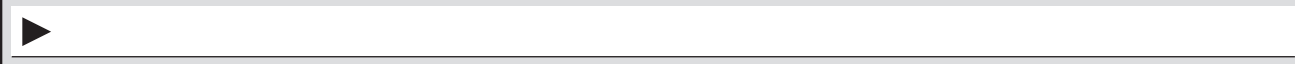

TICKER	INVESTMENT OPTIONS	PERCENT
<b>INTERMEDIATE BOND</b>		
CUGUX	Columbia Quality Income R	_____ %
OPBNX	Invesco Oppenheimer Total Return Bond R	_____ %
JDFRX	Janus Henderson Flexible Bond R	_____ %
LAURX	Lord Abbett Income R3	_____ %
PTRRX	PIMCO Total Return R	_____ %
PBFRX	Pioneer Bond R	_____ %
WAPRX	Western Asset Core Plus Bond R	_____ %
<b>MULTISECTOR BOND</b>		
EVBRX	Eaton Vance Multi-Sector Income R	_____ %
FSIAX	Fidelity Advisor Strategic Income M	_____ %
LBNRX	Lord Abbett Bond-Debenture R3	_____ %
PONRX	PIMCO Income R	_____ %
STIRX	Pioneer Strategic Income R	_____ %
<b>WORLD BOND</b>		
PFRRX	PIMCO International Bond (USD-Hedged) R	_____ %
FGBRX	Templeton Global Bond R	_____ %
FRRGX	Templeton Global Total Return R	_____ %
<b>EMERGING MARKETS BOND</b>		
CMBRX	Columbia Emerging Markets Bond R	_____ %

TICKER	INVESTMENT OPTIONS	PERCENT
<b>HIGH YIELD BOND</b>		
CHBRX	Columbia High Yield Bond R	_____ %
IYHIX	Ivy High Income R	_____ %
LHYRX	Lord Abbett High Yield R3	_____ %
PHYRX	PIMCO High Yield R	_____ %
PWRAX	Power Income A	_____ %
<b>INFLATION PROTECTION</b>		
AIARX	American Century Inflation-Adjusted Bond R	_____ %
PRRRX	PIMCO Real Return R	_____ %
<b>BANK LOAN</b>		
OOSNX	Invesco Oppenheimer Senior Floating Rate R	_____ %
LRRRX	Lord Abbett Floating Rate R3	_____ %
<b>GOVERNMENT BOND</b>		
AGMWX	American Century Ginnie Mae R	_____ %
ERGOX	Eaton Vance Government Opportunities R	_____ %
<b>CONVERTIBLE BOND</b>		
LCFRX	Lord Abbett Convertible R3	_____ %
<b>NONTRADITIONAL BOND</b>		
PUBRX	PIMCO Dynamic Bond R	_____ %
<b>MONEY MARKET</b>		
GORXX	Federated Government Obligations Trust	_____ %
<b>TOTAL INVESTMENT ELECTION</b>		<b>100%</b>

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Investment Options are current as of 10/1/2019. Please see [www.srsivewell.com](http://www.srsivewell.com) for any applicable changes.

#### 5. Signatures

<b>Account Holder's Signature</b>	<b>Date Signed</b>
	

**If your request is not in good order, how would you like us to notify you?**

- Call me at \_\_\_\_ - \_\_\_\_ - \_\_\_\_     Mail a letter to my address of record     Contact my Registered Representative

UMB Bank n.a. is the custodian for the LiveWell<sup>®</sup> Mutual Fund IRA program. The mutual funds offered through the LiveWell<sup>®</sup> Mutual Fund IRA are made available through Sammons Financial Network<sup>®</sup> LLC, member FINRA, 4546 Corporate Drive, Suite 100, West Des Moines, IA 50266. Information about the LiveWell<sup>®</sup> Mutual Fund IRA and its funds can be obtained by calling 866-747-3421. Sammons Institutional Group<sup>SM</sup>, Inc., Sammons Retirement Solutions<sup>®</sup> (a division of Sammons Institutional Group), and Sammons Financial Network LLC, member FINRA are affiliated companies and are not affiliated with UMB Bank n.a.