

# Mutual fund IRA allocation change request



**Mail to:** P.O. Box 9261, Des Moines, IA 50306-9261  
**Overnight:** 8300 Mills Civic Pkwy, West Des Moines, IA 50266-3833  
**Phone:** 1-866-747-3421 | **Email:** SecuritiesPi@sfgmembers.com

## Instructions

Use this form to transfer between fund(s) or model(s); change current or future allocations; or to elect, change, or cancel automatic portfolio rebalancing.

## 1. Account information

Please provide all requested information.

Account number

Account Holder's name (first, middle initial, last)

Social Security number

Street address

Apartment/Suite number

City

State

ZIP

Phone number

## 2. Fund transfer

Transfer all or a portion of the amount invested in fund(s) or model(s) to one or more fund(s) or model(s). This will not alter allocations for future investments in your account unless you select the applicable option under portfolio reallocation. **Please use EITHER dollar amounts or percentages.**

Percentage	or	Dollar amount	From (source fund or model)	To (destination fund or model)
1.	%	or \$		
2.	%	or \$		
3.	%	or \$		
4.	%	or \$		
5.	%	or \$		
6.	%	or \$		
7.	%	or \$		
8.	%	or \$		
9.	%	or \$		
10.	%	or \$		

## 3. Portfolio reallocation

For questions or assistance, please call the service center at 866-747-3421. **Check all that apply.**

A. Please update one or more of the following:

- ☐ **Current allocations:** reallocate my portfolio immediately upon receipt of this request.  
☐ **Future allocations:** allocate future contributions according to the percentages indicated on this form.

B. Automatic portfolio rebalancing (check one):

- ☐ **Elect automatic portfolio rebalancing:** the account value will rebalance to the portfolios selected in the account allocations section. Rebalancing will occur on the Account Anniversary of the month according to the elected frequency. If a frequency is not selected, the default is quarterly. Please consult your prospectus for details regarding this feature, as well as restrictions, minimum or maximum limitations, and other applicable information. Automatic portfolio rebalancing cannot be elected if you participate in dollar cost averaging.

Please indicate frequency: ☐ Quarterly ☐ Semi-annually ☐ Annually

- ☐ **Change automatic portfolio rebalancing:** change the designated account allocations for any existing program to match the account allocations indicated on this form. Change the designated frequency for an existing program to match this form.

Please indicate frequency: ☐ Quarterly ☐ Semi-annually ☐ Annually

- ☐ **Cancel automatic portfolio rebalancing:** continue to signature section.

NOT FDIC/NCUA INSURED, MAY LOSE VALUE INCLUDING LOSS OF PRINCIPAL, NO BANK/ CU GUARANTEE, NOT A DEPOSIT, NOT INSURED BY ANY FEDERAL GOVERNMENT AGENCY.

#### 4. Account allocations

Please designate your allocations in whole percentages only. No matter which funds are chosen, the total allocations must equal 100%, or the application will not be considered in good order.

##### LiveWell® Models

Accumulation	Percent	Income	Percent
Conservative	_____ %	High Income	_____ %
Moderate	_____ %	Diversified Income	_____ %
Moderate Growth	_____ %		
Growth	_____ %		
Aggressive Growth	_____ %		

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**The model portfolios will not automatically rebalance unless automatic portfolio rebalancing is elected.**

Ticker	Fund name	Percent
Large Value		
AVURX	American Century Value R	_____ %
MDDVX	BlackRock Equity Dividend A	_____ %
RSOOX	Columbia Dividend Opportunity R	_____ %
SLVRX	Columbia Select Large-Cap Value R	_____ %
ERSTX	Eaton Vance Large-Cap Value R	_____ %
LAVRX	Lord Abbett Fundamental Equity R3	_____ %
PQIRX	Pioneer Equity Income R	_____ %
PEIOX	Principal Equity Income R3	_____ %
RRFDX	T. Rowe Price Equity Income R	_____ %
Large Blend		
AFDRX	American Century Sustainable Equity R	_____ %
AICFX	American Funds Invmt Co of Amer F1	_____ %
WSHFX	American Funds Washington Mutual F1	_____ %
LMMRX	ClearBridge Dividend Strategy R	_____ %
CCCRX	Columbia Contrarian Core R	_____ %
DESRX	DWS ESG Core Equity R	_____ %
FRDRX	Franklin Rising Dividends R	_____ %
VADRX	Invesco Equally-Weighted S&P 500 R	_____ %
OMGNX	Invesco Main Street R	_____ %
MFVNX	MassMutual Select Equity Opportunities R3	_____ %
MMINX	MM S&P 500® Index R3	_____ %
PSPRX	PIMCO StocksPLUS R	_____ %
PIORX	Pioneer R	_____ %
PCAOX	Principal Capital Appreciation R3	_____ %
Large Growth		
ACARX	Alger Capital Appreciation Instl R	_____ %
AULRX	American Century Ultra R	_____ %
GFAFX	American Funds Growth Fund of Amer F1	_____ %
LMPLX	ClearBridge Large Cap Growth R	_____ %
FAGOX	Fidelity Advisor Growth Opportunities M	_____ %
FNITX	Fidelity Advisor New Insights M	_____ %
FDNRX	Franklin DynaTech R	_____ %

##### Risk-based allocation funds

Ticker	Fund name	Percent
FTTNX	Fidelity Advisor Asset Manager 30% M	_____ %
FTTMX	Fidelity Advisor Asset Manager 50% M	_____ %
FTASX	Fidelity Advisor Asset Manager 70% M	_____ %
FEYTX	Fidelity Advisor Asset Manager 85% M	_____ %

##### Target date allocation funds

Ticker	Fund name	Percent
ARCRX	American Century One Choice 2030 R	_____ %
ARDRX	American Century One Choice 2040 R	_____ %
ARFWX	American Century One Choice 2050 R	_____ %
ARGRX	American Century One Choice 2060 R	_____ %
ARSRX	American Century One Choice in Retirement R	_____ %

Ticker	Fund name	Percent
LGLRX	Lord Abbett Growth Leaders R3	_____ %
MBCNX	MassMutual Blue Chip Growth R3	_____ %
PFGRX	Pioneer Fundamental Growth R	_____ %
PGBEX	Principal Blue Chip Fund R3	_____ %
RRBGX	T. Rowe Price Blue Chip Growth R	_____ %
RRGSX	T. Rowe Price Growth Stock R	_____ %

Mid Value		
AMVRX	American Century Mid Cap Value R	_____ %
CMVRX	Columbia Select Mid Cap Value R	_____ %
JDPRX	Janus Henderson Mid Cap Value R	_____ %
IIVAX	Transamerica Small/Mid Cap Value A	_____ %

Mid Blend		
CCAFX	Calvert Mid-Cap A	_____ %
LMREX	ClearBridge Mid Cap R	_____ %
ERSMX	Eaton Vance Atlanta Capital SMID-Cap R	_____ %
FITIX	Fidelity Advisor Mid Cap II M	_____ %

Mid Growth		
KAUFX	Federated Hermes Kaufmann R	_____ %
OEGNX	Invesco Discovery Mid Cap Growth R	_____ %
JDMRX	Janus Henderson Enterprise R	_____ %
PFPX	Principal MidCap Growth R3	_____ %
RRMGX	T. Rowe Price Mid-Cap Growth R	_____ %

Small Value		
CCTR	Columbia Small Cap Value II R	_____ %
VSFRX	Federated Hermes Clover Small Value R	_____ %
FCVTX	Fidelity Advisor Small Cap Value M	_____ %
JDSRX	Janus Henderson Small Cap Value R	_____ %
ROFRX	Royce Small Cap Opportunity R	_____ %

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#### 4. Account allocations (continued)

Please designate your allocations in whole percentages only. No matter which funds are chosen, the total allocations must equal 100%, or the application will not be considered in good order.

Ticker	Fund name	Percent
Small Blend		
OSCNX	Invesco Main Street Small Cap R	____%
SMERX	Invesco Small Cap Equity R	____%
PSBMX	Principal Small Cap R3	____%
Small Growth		
GLSRX	abrdn US Sustainable Leaders Smaller Companies R	____%
ANORX	American Century Small Cap Growth R	____%
FKKSX	Federated Hermes Kaufmann Small Cap R	____%
LMPOX	ClearBridge Small Cap Growth R	____%
JGMRX	Janus Henderson Triton R	____%
International Large		
AEGFX	American Funds Europacific Growth F1	____%
LMGRX	ClearBridge International Growth R	____%
FIATX	Fidelity Advisor Intl Capital App M	____%
OIDNX	Invesco International Diversified R	____%
OIGNX	Invesco Oppenheimer International Growth R	____%
JDIRX	Janus Henderson Overseas R	____%
PINRX	Principal Diversified International R3	____%
RRIGX	T. Rowe Price International Value Equity R	____%
TEFRX	Templeton Foreign R	____%
TRWAX	Transamerica International Equity A	____%
International Small		
FTISX	Fidelity Advisor Intl Small Cap M	____%
OSMNX	Invesco International Small-Mid Company R	____%
Emerging Markets		
GOPRX	abrdn China A Share Equity Fund R	____%
GEMRX	abrdn Emerging Markets R	____%
AEMRX	American Century Emerging Markets R	____%
CEMRX	Columbia Emerging Markets R	____%
TDMRX	Templeton Developing Markets R	____%
World Stock		
NPFFX	American Funds New Perspective F1	____%
MDGCX	BlackRock Advantage Global A	____%
FEATX	Fidelity Advisor® Emerging Asia M	____%
FMURX	Franklin Mutual International Value R	____%
GLVNX	Invesco Global Focus	____%
OGINX	Invesco Global Opportunities R	____%
OGLNX	Invesco Global R	____%
JDWRX	Janus Henderson Global Research R	____%

Ticker	Fund name	Percent
Commodities/Precious Metals		
BRCRX	Invesco Balanced-Risk Commodity Strat R	____%
OGMNX	Invesco Gold & Special Minerals R	____%
PCSRX	PIMCO Commodity Real Return Strategy R	____%
Communications		
FTUTX	Fidelity Advisor Telecommunications M	____%
Energy		
FAGNX	Fidelity Advisor Energy M	____%
SPMWX	Invesco SteelPath MLP Select 40 R	____%
Natural Resources		
FMFTX	Fidelity Advisor Materials M	____%
Financial		
FAFSX	Fidelity Advisor Financial Services M	____%
Health		
ERHSX	Eaton Vance Worldwide Health Science R	____%
FBTTX	Fidelity Advisor Biotechnology M	____%
Industrials		
FCLTX	Fidelity Advisor Industrials M	____%
Real Estate		
ARYWX	American Century Global Real Estate R	____%
RRRSX	DWS RREEF Real Estate Securities R	____%
FHETX	Fidelity Advisor Real Estate M	____%
PGRKX	Principal Global Real Estate Securities R3	____%
PRERX	Principal Real Estate Securities R3	____%
Technology		
SGTRX	Columbia Seligman Global Technology R	____%
FELTX	Fidelity Advisor Semiconductors M	____%
FATEX	Fidelity Advisor Technology M	____%
Utilities		
FRURX	Franklin Utilities R	____%
Balanced		
BALFX	American Funds American Balanced F1	____%
CSIFX	Calvert Balanced A	____%
CBURX	Columbia Income Builder R	____%
ERIFX	Eaton Vance Balanced R	____%
FTSDX	Fidelity Advisor Strategic Div & Inc M	____%
FISRX	Franklin Income R	____%
JDBRX	Janus Henderson Balanced R	____%
CBPRX	Pioneer Balanced ESG R	____%
IBALX	Transamerica Multi-Managed Balanced A	____%

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#### 4. Account allocations (continued)

Please designate your allocations in whole percentages only. No matter which funds are chosen, the total allocations must equal 100%, or the application will not be considered in good order.

Ticker	Fund name	Percent
Tactical		
PATRX	PIMCO All Asset R	_____ %
PNARX	Principal Spectrum Preferred and Cap Sec Income R3	_____ %
Global Allocation		
MDLOX	BlackRock Global Allocation A	_____ %
FMQSX	Franklin Mutual Quest R	_____ %
Bank Loan		
LRRRX	Lord Abbett Floating Rate R3	_____ %
Convertible Bond		
LCFRX	Lord Abbett Convertible R3	_____ %
Emerging Markets Bond		
CMBRX	Columbia Emerging Markets Bond R	_____ %
Government Bond		
AGMWX	American Century Ginnie Mae R	_____ %
ERGOX	Eaton Vance Government Opportunities R	_____ %
High Yield Bond		
CHBRX	Columbia High Yield Bond R	_____ %
KHYRX	DWS High Income R	_____ %
LHYRX	Lord Abbett High Yield R3	_____ %
PHYRX	PIMCO High Yield R	_____ %
Inflation Protection		
AIARX	American Century Inflation-Adjusted Bond R	_____ %
PRRRX	PIMCO Real Return R	_____ %

Ticker	Fund name	Percent
Intermediate Bond		
OPBNX	Invesco Core Bond R	_____ %
OFINX	Invesco Intermediate Bond Factor Fund R	_____ %
JDFRX	Janus Henderson Flexible Bond R	_____ %
LAURX	Lord Abbett Income R3	_____ %
PTRRX	PIMCO Total Return R	_____ %
PBFRX	Pioneer Bond R	_____ %
PIOOX	Principal Core Fixed Income R3	_____ %
WAPRX	Western Asset Core Plus Bond R	_____ %
Multisector Bond		
FSIAX	Fidelity Advisor Strategic Income M	_____ %
LBNRX	Lord Abbett Bond-Debenture R3	_____ %
PONRX	PIMCO Income R	_____ %
Nontraditional Bond		
CSNRX	Columbia Strategic Income R	_____ %
PUBRX	PIMCO Dynamic Bond R	_____ %
Short-Term Bond		
ERSIX	Eaton Vance Short Duration Strat Inc R	_____ %
LDLRX	Lord Abbett Short Duration Income R3	_____ %
PLDRX	PIMCO Low Duration R	_____ %
PTSRX	PIMCO Short-Term R	_____ %
World Bond		
PFRRX	PIMCO International Bond (USD-Hedged) R	_____ %
FGBRX	Templeton Global Bond R	_____ %
Money Market		
GORXX	Federated Government Obligations Trust	_____ %
<b>Total investment election</b>		<b>100%</b>

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Funds are current as of 11/01/2023. Please see [www.srslivewell.com](http://www.srslivewell.com) for any applicable changes.

#### 5. Signature

Account Holder's signature

Date signed (mm/dd/yyyy)

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