Reset Form

# Mutual fund IRA allocation change request

SAMMONS RETIREMENT SOLUTIONS®

**Mail to:** P.O. Box 9261, Des Moines, IA 50306-9261
**Overnight:** 8300 Mills Civic Pkwy, West Des Moines, IA 50266-3833
**Phone:** 1-866-747-3421 | **Email:** SecuritiesPi@sfgmembers.com

## Instructions

Use this form to transfer between fund(s) or model(s); change current or future allocations; or to elect, change, or cancel automatic portfolio rebalancing.

## 1. Account information

*Please provide all requested information.*

| | | | |
|---|---|---|---|
| Account number | | | |
| Account Holder's name (first, middle initial, last) | | | Social Security number |
| Street address | | | Apartment/Suite number |
| City | State | ZIP | Phone number |

## 2. Fund transfer

*Transfer all or a portion of the amount invested in fund(s) or model(s) to one or more fund(s) or model(s). This will not alter allocations for future investments in your account unless you select the applicable option under portfolio reallocation.* ***Please use EITHER dollar amounts or percentages.***

| Percentage | or | Dollar amount | From (source fund or model) | To (destination fund or model) |
|---|---|---|---|---|
| 1. % | or | $ | | |
| 2. % | or | $ | | |
| 3. % | or | $ | | |
| 4. % | or | $ | | |
| 5. % | or | $ | | |
| 6. % | or | $ | | |
| 7. % | or | $ | | |
| 8. % | or | $ | | |
| 9. % | or | $ | | |
| 10. % | or | $ | | |

## 3. Portfolio reallocation

*For questions or assistance, please call the service center at 866-747-3421.* ***Check all that apply.***

A. Please update one or more of the following:

- ☐ **Current allocations:** reallocate my portfolio immediately upon receipt of this request.
- ☐ **Future allocations:** allocate future contributions according to the percentages indicated on this form.

B. Automatic portfolio rebalancing (check one):

- ☐ **Elect automatic portfolio rebalancing:** the account value will rebalance to the portfolios selected in the account allocations section. Rebalancing will occur on the Account Anniversary of the month according to the elected frequency. If a frequency is not selected, the default is quarterly. Please consult your prospectus for details regarding this feature, as well as restrictions, minimum or maximum limitations, and other applicable information. Automatic portfolio rebalancing cannot be elected if you participate in dollar cost averaging.

  Please indicate frequency: ☐ Quarterly ☐ Semi-annually ☐ Annually

- ☐ **Change automatic portfolio rebalancing:** change the designated account allocations for any existing program to match the account allocations indicated on this form. Change the designated frequency for an existing program to match this form.

  Please indicate frequency: ☐ Quarterly ☐ Semi-annually ☐ Annually

- ☐ **Cancel automatic portfolio rebalancing:** continue to signature section.

## 4. Account allocations

*Please designate your allocations in whole percentages only. No matter which funds are chosen, the total allocations must equal 100%, or the application will not be considered in good order.*

**LiveWell® Models**

| Accumulation | Percent |
|---|---|
| Conservative | _____ % |
| Moderate | _____ % |
| Moderate Growth | _____ % |
| Growth | _____ % |
| Aggressive Growth | _____ % |

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***The model portfolios will not automatically rebalance unless automatic portfolio rebalancing is elected.***

| Ticker | Fund name | Percent |
|---|---|---|
| | Large Value | |
| AVURX | American Century Value R | _____ % |
| MDDVX | BlackRock Equity Dividend A | _____ % |
| CDIRX | Columbia Dividend Income R | _____ % |
| RSOOX | Columbia Dividend Opportunity R | _____ % |
| SLVRX | Columbia Select Large-Cap Value R | _____ % |
| ERSTX | Eaton Vance Large-Cap Value R | _____ % |
| PQIRX | Pioneer Equity Income R | _____ % |
| PEQRX | Putnam Large Cap Value R | _____ % |
| RRFDX | T. Rowe Price Equity Income R | _____ % |
| | Large Blend | |
| AFDRX | American Century Sustainable Equity R | _____ % |
| AICFX | American Funds Invmt Co of Amer F1 | _____ % |
| WSHFX | American Funds Washington Mutual F1 | _____ % |
| LMMRX | ClearBridge Dividend Strategy R | _____ % |
| CCCRX | Columbia Contrarian Core R | _____ % |
| DESRX | DWS ESG Core Equity R | _____ % |
| FRDRX | Franklin Rising Dividends R | _____ % |
| VADRX | Invesco Equally-Weighted S&P 500 R | _____ % |
| OMGNX | Invesco Main Street R | _____ % |
| MMINX | MM S&P 500® Index R3 | _____ % |
| PSPRX | PIMCO StocksPLUS R | _____ % |
| PIORX | Pioneer R | _____ % |
| PCAOX | Principal Capital Appreciation R3 | _____ % |
| PMYZX | Putnam Core Equity Fund R | _____ % |
| PRSRX | Putnam Research R | _____ % |

**Risk-based allocation funds**

| Ticker | Fund name | Percent |
|---|---|---|
| FTTNX | Fidelity Advisor Asset Manager 30% M | _____% |
| FFTMX | Fidelity Advisor Asset Manager 50% M | _____% |
| FTASX | Fidelity Advisor Asset Manager 70% M | _____% |
| FEYTX | Fidelity Advisor Asset Manager 85% M | _____% |

**Target date allocation funds**

| Ticker | Fund name | Percent |
|---|---|---|
| ARCRX | American Century One Choice 2030 R | _____% |
| ARDRX | American Century One Choice 2040 R | _____% |
| ARFWX | American Century One Choice 2050 R | _____% |
| ARGRX | American Century One Choice 2060 R | _____% |
| ARSRX | American Century One Choice in Retirement R | _____% |

| Ticker | Fund name | Percent |
|---|---|---|
| | Large Growth | |
| ACARX | Alger Capital Appreciation Instl R | _____ % |
| AULRX | American Century Ultra R | _____ % |
| GFAFX | American Funds Growth Fund of Amer F1 | _____ % |
| LMPLX | ClearBridge Large Cap Growth R | _____ % |
| FAEGX | Fidelity Advisor Equity Growth M | _____ % |
| FAGOX | Fidelity Advisor Growth Opportunities M | _____ % |
| FNITX | Fidelity Advisor New Insights M | _____ % |
| FDNRX | Franklin DynaTech R | _____ % |
| JDCRX | Janus Henderson Forty R | _____ % |
| LGLRX | Lord Abbett Growth Leaders R3 | _____ % |
| MBCNX | MassMutual Blue Chip Growth R3 | _____ % |
| PFGRX | Pioneer Fundamental Growth R | _____ % |
| PGBEX | Principal Blue Chip Fund R3 | _____ % |
| PGORX | Putnam Large Cap Growth R | _____ % |
| RRBGX | T. Rowe Price Blue Chip Growth R | _____ % |
| RRGSX | T. Rowe Price Growth Stock R | _____ % |

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## 4. Account allocations *(continued)*

*Please designate your allocations in whole percentages only. No matter which funds are chosen, the total allocations must equal 100%, or the application will not be considered in good order.*

| Ticker | Fund name | Percent |
|---|---|---|
| | **Mid Value** | |
| AMVRX | American Century Mid Cap Value R | _____ % |
| CMVRX | Columbia Select Mid Cap Value R | _____ % |
| FASPX | Fidelity Advisor Value Strategies M | _____ % |
| JDPRX | Janus Henderson Mid Cap Value R | _____ % |
| IIVAX | Transamerica Small/Mid Cap Value A | _____ % |
| | **Mid Blend** | |
| CCAFX | Calvert Mid-Cap A | _____ % |
| LMREX | ClearBridge Mid Cap R | _____ % |
| ERSMX | Eaton Vance Atlanta Capital SMID-Cap R | _____ % |
| FITIX | Fidelity Advisor Mid Cap II M | _____ % |
| | **Mid Growth** | |
| OEGNX | Invesco Discovery Mid Cap Growth R | _____ % |
| JDMRX | Janus Henderson Enterprise R | _____ % |
| PFPPX | Principal MidCap Growth R3 | _____ % |
| RRMGX | T. Rowe Price Mid-Cap Growth R | _____ % |
| | **Small Value** | |
| CCTRX | Columbia Small Cap Value II R | _____ % |
| VSFRX | Federated Hermes Clover Small Value R | _____ % |
| FCVTX | Fidelity Advisor Small Cap Value M | _____ % |
| JDSRX | Janus Henderson Small Cap Value R | _____ % |
| ROFRX | Royce Small-Cap Opportunity R | _____ % |
| | **Small Blend** | |
| OSCNX | Invesco Main Street Small Cap R | _____ % |
| SMERX | Invesco Small Cap Equity R | _____ % |
| PSBMX | Principal SmallCap R3 | _____ % |
| | **Small Growth** | |
| ANORX | American Century Small Cap Growth R | _____ % |
| LMPOX | ClearBridge Small Cap Growth R | _____ % |
| CCRIX | Columbia Small Cap Growth R | _____ % |
| ERSGX | Eaton Vance Small-Cap R | _____ % |
| FKKSX | Federated Hermes Kaufmann Small Cap R | _____ % |
| JGMRX | Janus Henderson Triton R | _____ % |
| | **International Large** | |
| AEGFX | American Funds Europacific Growth F1 | _____ % |
| LMGRX | ClearBridge International Growth R | _____ % |
| FIATX | Fidelity Advisor Intl Capital App M | _____ % |
| OIDNX | Invesco International Diversified R | _____ % |

| Ticker | Fund name | Percent |
|---|---|---|
| OIGNX | Invesco Oppenheimer International Growth R | _____ % |
| JDIRX | Janus Henderson Overseas R | _____ % |
| PINRX | Principal Diversified International R3 | _____ % |
| RRIGX | T. Rowe Price International Value Equity R | _____ % |
| TEFRX | Templeton Foreign R | _____ % |
| TRWAX | Transamerica International Equity A | _____ % |
| | **International Small** | |
| FTISX | Fidelity Advisor Intl Small Cap M | _____ % |
| OSMNX | Invesco International Small-Mid Company R | _____ % |
| | **Emerging Markets** | |
| GOPRX | abrdn China A Share Equity R | _____ % |
| GEMRX | abrdn Emerging Markets R | _____ % |
| AEMRX | American Century Emerging Markets R | _____ % |
| PEMLX | Putnam Emerging Markets Equity R | _____ % |
| TDMRX | Templeton Developing Markets R | _____ % |
| | **World Stock** | |
| NPFFX | American Funds New Perspective F1 | _____ % |
| MDGCX | BlackRock Advantage Global A | _____ % |
| FEATX | Fidelity Advisor Emerging Asia M | _____ % |
| FMURX | Franklin Mutual International Value R | _____ % |
| GLVNX | Invesco Global Focus R | _____ % |
| OGLNX | Invesco Global R | _____ % |
| OGINX | Invesco Global Opportunities R | _____ % |
| JDWRX | Janus Henderson Global Research R | _____ % |
| | **Commodities/Precious Metals** | |
| BRCRX | Invesco Balanced-Risk Commodity Strat R | _____ % |
| OGMNX | Invesco Gold & Special Minerals R | _____ % |
| PCSRX | PIMCO Commodity Real Return Strategy R | _____ % |
| | **Communications** | |
| FTUTX | Fidelity Advisor Telecommunications M | _____ % |
| | **Consumer Staples** | |
| FDTGX | Fidelity Advisor Consumer Staples M | _____ % |
| | **Energy** | |
| FAGNX | Fidelity Advisor Energy M | _____ % |
| | **Natural Resources** | |
| FMFTX | Fidelity Advisor Materials M | _____ % |
| | **Financial** | |
| FAFSX | Fidelity Advisor Financials M | _____ % |

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## 4. Account allocations *(continued)*

*Please designate your allocations in whole percentages only. No matter which funds are chosen, the total allocations must equal 100%, or the application will not be considered in good order.*

| Ticker | Fund name | Percent |
|---|---|---|
| | Health | |
| ERHSX | Eaton Vance Worldwide Health Science R | _____ % |
| FBTTX | Fidelity Advisor Biotechnology M | _____ % |
| PHSRX | Putnam Global Health Care R | _____ % |
| | Industrials | |
| FCLTX | Fidelity Advisor Industrials M | _____ % |
| | Real Estate | |
| ARYWX | American Century Global Real Estate R | _____ % |
| RRRSX | DWS RREEF Real Estate Securities R | _____ % |
| PGRKX | Principal Global Real Estate Securities R3 | _____ % |
| PRERX | Principal Real Estate Securities R3 | _____ % |
| | Technology | |
| SGTRX | Columbia Seligman Global Technology R | _____ % |
| FELTX | Fidelity Advisor Semiconductors M | _____ % |
| FATEX | Fidelity Advisor Technology M | _____ % |
| | Utilities | |
| FRURX | Franklin Utilities R | _____ % |
| | Balanced | |
| BALFX | American Funds American Balanced F1 | _____ % |
| CSIFX | Calvert Balanced A | _____ % |
| CBURX | Columbia Income Builder R | _____ % |
| ERIFX | Eaton Vance Balanced R | _____ % |
| FAIGX | Fidelity Advisor Balanced M | _____ % |
| FTSDX | Fidelity Advisor Strategic Div & Inc M | _____ % |
| FISRX | Franklin Income R | _____ % |
| JDBRX | Janus Henderson Balanced R | _____ % |
| CBPRX | Pioneer Balanced ESG R | _____ % |
| PACRX | Putnam Dynamic Asset Allocation Cnsrv R | _____ % |
| PASRX | Putnam Dynamic Asset Allocation Gr R | _____ % |
| IBALX | Transamerica Multi-Managed Balanced A | _____ % |
| | Tactical | |
| PATRX | PIMCO All Asset R | _____ % |
| PNARX | Principal Spectrum Preferred and Cap Sec Income R3 | _____ % |

| Ticker | Fund name | Percent |
|---|---|---|
| | Global Allocation | |
| MDLOX | BlackRock Global Allocation A | _____ % |
| EDIRX | Eaton Vance Global Income Builder R | _____ % |
| FMQSX | Franklin Mutual Quest R | _____ % |
| | Bank Loan | |
| LRRRX | Lord Abbett Floating Rate R3 | _____ % |
| | Convertible Bond | |
| LCFRX | Lord Abbett Convertible R3 | _____ % |
| | Emerging Markets Bond | |
| CMBRX | Columbia Emerging Markets Bond R | _____ % |
| | Government Bond | |
| AGMWX | American Century Ginnie Mae R | _____ % |
| ERGOX | Eaton Vance Government Opportunities R | _____ % |
| | High Yield Bond | |
| CHBRX | Columbia High Yield Bond R | _____ % |
| ERIBX | Eaton Vance Income Fund of Boston R | _____ % |
| LHYRX | Lord Abbett High Yield R3 | _____ % |
| PHYRX | PIMCO High Yield R | _____ % |
| | Inflation Protection | |
| AIARX | American Century Inflation-Adjusted Bond R | _____ % |
| PRRRX | PIMCO Real Return R | _____ % |
| | Intermediate Bond | |
| OPBNX | Invesco Core Bond R | _____ % |
| OFINX | Invesco Intermediate Bond Factor Fund R | _____ % |
| LAURX | Lord Abbett Income R3 | _____ % |
| PTRRX | PIMCO Total Return R | _____ % |
| PBFRX | Pioneer Bond R | _____ % |
| PTRKX | Putnam Core Bond Fund R | _____ % |
| WAPRX | Western Asset Core Plus Bond R | _____ % |
| | Multisector Bond | |
| ERSIX | Eaton Vance Strategic Income R | _____ % |
| FSIAX | Fidelity Advisor Strategic Income M | _____ % |
| LBNRX | Lord Abbett Bond-Debenture R3 | _____ % |
| PONRX | PIMCO Income R | _____ % |

*Continued on page 5* ►

## 4. Account allocations *(continued)*

*Please designate your allocations in whole percentages only. No matter which funds are chosen, the total allocations must equal 100%, or the application will not be considered in good order.*

| Ticker | Fund name | Percent |
|---|---|---|
| | Nontraditional Bond | |
| CSNRX | Columbia Strategic Income R | _____ % |
| PUBRX | PIMCO Dynamic Bond R | _____ % |
| | Short-Term Bond | |
| LDLRX | Lord Abbett Short Duration Income R3 | _____ % |
| PLDRX | PIMCO Low Duration R | _____ % |
| PTSRX | PIMCO Short-Term R | _____ % |

| Ticker | Fund name | Percent |
|---|---|---|
| | World Bond | |
| PFRRX | PIMCO International Bond (USD-Hedged) R | _____ % |
| FGBRX | Templeton Global Bond R | _____ % |
| | Money Market | |
| GORXX | Federated Government Obligations Trust | _____ % |
| **Total investment election** | | **100%** |

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Asset allocation (and/or diversification) does not ensure a profit or guarantee against loss; it is a method used to help manage risk.

Funds are current as of 08/01/2024. Please see www.srslivewell.com for any applicable changes.

## 5. Signature

| Account Holder's signature | Date signed (mm/dd/yyyy) |
|---|---|
| | |