

Dollar Cost Averaging (DCA) Program Form

LiveWell® Mutual Fund IRA Series

SAMMONS
Retirement Solutions®

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Instructions

Use this form to establish, change or cancel a Dollar Cost Averaging program.

Note: This program is not available if you have elected the automatic portfolio rebalancing program. The minimum Account value to initiate a DCA program is \$10,000. The minimum amount of set-up time for any DCA program is three (3) months. There is no maximum amount of set-up time.

Future contributions received without express written allocation instructions will be directed into the DCA target funds.

1. Account Information

Please provide all requested information.

Account Number

Account Holder's Name (first, middle initial, last)

SSN

Street Address

Apartment/Suite Number

City

State

ZIP

Phone Number

2. Dollar Cost Averaging Instructions

For questions or assistance, please call the Service Center at 866-747-3421. Any investment option may be elected as the DCA source fund, but the elected "source fund" must have a minimum balance of \$1,200. The minimum amount that may be transferred via DCA monthly is \$100, quarterly is \$300, semi-annually is \$600, and annually is \$1,200.

A. Please elect only one option:

- Establish** a Dollar Cost Averaging program - complete remainder of this form.
- Change** the existing Dollar Cost Averaging program - complete remainder of this form.
- Cancel** the existing Dollar Cost Averaging program and DO NOT ADD a new program - move to signature section of this form.

B. Dollar Cost Averaging Instructions:

Dollar Cost Averaging will occur on the **Account Anniversary** of the month according to the elected frequency, provided that it is a business day. Please consult the sales materials provided at purchase for details regarding this feature as well as restrictions, minimum or maximum limitations, fees, and other applicable information. Dollar Cost Averaging cannot be elected if you participate in automatic portfolio rebalancing.

I would like to dollar cost average \$_____ or Equal proportion based on the frequency selected below from the following source fund (select ONE fund that you want to DCA from): _____

- Monthly, for _____ months (3-month minimum) Quarterly, for _____ quarters
- Semi-Annually, for _____ semesters Annually, for _____ years or Until source account is depleted

Please elect the target fund(s) (fund(s) you want to DCA into) by selecting investment options on the following pages.

Dollar Cost Averaging does not assure a profit or protect against loss in a declining market. Such a plan involves continuous investment in securities regardless of fluctuating price levels of such securities. Investors should consider their financial ability to continue purchases through periods of low price levels.



3. Investment Options

Please designate your allocations in whole percentages only. No matter which investment options are chosen, the total allocations must equal 100%, or the application will not be considered in good order.

LiveWell® Models

Accumulation	Percent	Income	Percent
Conservative	_____ %	High Income	_____ %
Moderate	_____ %	Diversified Income	_____ %
Moderate Growth	_____ %		
Growth	_____ %		
Aggressive Growth	_____ %		



The model portfolios will not automatically rebalance unless Automatic Portfolio Rebalancing is elected.

RISK-BASED ALLOCATION FUNDS

TICKER	FUND NAME	PERCENT
FEYTX	Fidelity Advisor Asset Manager 85% M	_____ %
FTASX	Fidelity Advisor Asset Manager 70% M	_____ %
FFTMX	Fidelity Advisor Asset Manager 50% M	_____ %
FTTNX	Fidelity Advisor Asset Manager 30% M	_____ %

TICKER	INVESTMENT OPTIONS	PERCENT
LARGE VALUE		
AVURX	American Century Value R	_____ %
MDDVX	BlackRock Equity Dividend A	_____ %
RSOOX	Columbia Dividend Opportunity R	_____ %
SLVRX	Columbia Select Large-Cap Value R	_____ %
JDVOX	JHancock Disciplined Value R1	_____ %
LAVRX	Lord Abbett Fundamental Equity R3	_____ %
PQIRX	Pioneer Equity Income R	_____ %
PWDAX	Power Dividend Index A	_____ %
LARGE BLEND		
AFDRX	American Century Sustainable Equity R	_____ %
AICFX	American Funds Invmt Co of Amer F1	_____ %
WSHFX	American Funds Washington Mutual F1	_____ %
LMMRX	ClearBridge Dividend Strategy R	_____ %
CCCRX	Columbia Contrarian Core R	_____ %
FALGX	Fidelity Advisor Large Cap M	_____ %
FRDRX	Franklin Rising Dividends R	_____ %
OMGNX	Invesco Oppenheimer Main Street R	_____ %
JLCRX	JHancock Fundamental Large Cap Core R1	_____ %
MFVNX	MassMutual Select Equity Opports R3	_____ %
MMINX	MM S&P 500® Index R3	_____ %
PSPRX	PIMCO StocksPLUS R	_____ %
LARGE GROWTH		
ACARX	Alger Capital Appreciation Instl R	_____ %
ADRRX	American Century Disciplined Growth R	_____ %
AULRX	American Century Ultra R	_____ %
GFAFX	American Funds Growth Fund of Amer F1	_____ %
LMPLX	ClearBridge Large Cap Growth R	_____ %
FAGOX	Fidelity Advisor Growth Opportunities M	_____ %
FNITX	Fidelity Advisor New Insights M	_____ %
FDNRX	Franklin DynaTech R	_____ %

TARGET DATE ALLOCATION FUNDS

TICKER	FUND NAME	PERCENT
ARBRX	American Century One Choice 2020 R	_____ %
ARCRX	American Century One Choice 2030 R	_____ %
ARDRX	American Century One Choice 2040 R	_____ %
ARFWX	American Century One Choice 2050 R	_____ %
ARSRX	American Century One Choice in Retirement R	_____ %

TICKER	INVESTMENT OPTIONS	PERCENT
WLGRX	Ivy Large Cap Growth R	_____ %
MBCNX	MassMutual Select Blue Chip Growth R3	_____ %
PFGRX	Pioneer Fundamental Growth R	_____ %
RRBGX	T. Rowe Price Blue Chip Growth R	_____ %
RRGSX	T. Rowe Price Growth Stock R	_____ %
MID VALUE		
AMVRX	American Century Mid Cap Value R	_____ %
MIDQX	DWS Mid Cap Value R	_____ %
JDPRX	Janus Henderson Mid Cap Value R	_____ %
IIVAX	Transamerica Small/Mid Cap Value A	_____ %
MID BLEND		
CCAFX	Calvert Mid-Cap A	_____ %
LMREX	ClearBridge Mid Cap R	_____ %
MDKTX	MM S&P® Mid Cap Index R3	_____ %
MID GROWTH		
ERSMX	Eaton Vance Atlanta Capital SMID-Cap R	_____ %
KAUFX	Federated Kaufmann R	_____ %
FITIX	Fidelity Advisor Mid Cap II M	_____ %
OEGNX	Invesco Oppenheimer Discovery Mid Cap Growth R	_____ %
WMGRX	Ivy Mid Cap Growth R	_____ %
SMALL VALUE		
FCVTX	Fidelity Advisor Small Cap Value M	_____ %
JDSRX	Janus Henderson Small Cap Value R	_____ %
ROFRX	Royce Opportunity R	_____ %
SMALL BLEND		
OSCNX	Invesco Oppenheimer Main Street Small Cap R	_____ %
IYSMX	Ivy Small Cap Core R	_____ %

Investment Options continued on page 3 ►

3. Investment Options (Continued)

Please designate your allocations in whole percentages only. No matter which investment options are chosen, the total allocations must equal 100%, or the application will not be considered in good order.

TICKER	INVESTMENT OPTIONS	PERCENT
SMALL GROWTH		
LMPOX	ClearBridge Small Cap Growth R	_____ %
FKKSX	Federated Kaufmann Small Cap R	_____ %
WSGRX	Ivy Small Cap Growth R	_____ %
JGMRX	Janus Henderson Triton R	_____ %
INTERNATIONAL LARGE		
AEGFX	American Funds Europacific Growth F1	_____ %
LMGRX	ClearBridge International Growth R	_____ %
OIDNX	Invesco Oppenheimer International Diversified R	_____ %
QIVNX	Invesco Oppenheimer International Equity R	_____ %
OIGNX	Invesco Oppenheimer International Growth R	_____ %
IYITX	Ivy International Core Equity R	_____ %
LIRRX	Lord Abbett International Value R3	_____ %
RRIGX	T. Rowe Price International Value Equity R	_____ %
TEFRX	Templeton Foreign R	_____ %
TRWAX	Transamerica International Equity A	_____ %
INTERNATIONAL SMALL		
WPVAX	Aberdeen International Small Cap R	_____ %
OSMNX	Invesco Oppenheimer International Small-Mid Company R	_____ %
WORLD STOCK		
NPFFX	American Funds New Perspective F1	_____ %
MDGCX	BlackRock Advantage Global A	_____ %
FMURX	Franklin Mutual European R	_____ %
OGLNX	Invesco Oppenheimer Global R	_____ %
GLVNX	Invesco Oppenheimer Global Focus R	_____ %
OGINX	Invesco Oppenheimer Global Opportunities R	_____ %
JDWRX	Janus Henderson Global Research R	_____ %
EMERGING MARKETS		
GOPRX	Aberdeen China A Share Equity Fund R	_____ %
GEMRX	Aberdeen Emerging Markets R	_____ %
AEMRX	American Century Emerging Markets R	_____ %
TDMRX	Templeton Developing Markets R	_____ %
FTESX	Templeton Emerging Markets Small Cap R	_____ %
COMMODITIES/PRECIOUS METALS		
OGMNX	Invesco Oppenheimer Gold & Special Minerals R	_____ %
PCSRX	PIMCO Commodity Real Return Strategy R	_____ %
COMMUNICATIONS		
FTUTX	Fidelity Advisor Telecommunications M	_____ %
ENERGY/NATURAL RESOURCES		
FMFTX	Fidelity Advisor Materials M	_____ %
IYEFX	Ivy Energy R	_____ %
IGNRX	Ivy Natural Resources R	_____ %

TICKER	INVESTMENT OPTIONS	PERCENT
FINANCIAL		
FAFSX	Fidelity Advisor Financial Services M	_____ %
HEALTH		
ERHSX	Eaton Vance Worldwide Health Science R	_____ %
FBTTX	Fidelity Advisor Biotechnology M	_____ %
INDUSTRIALS		
FCLTX	Fidelity Advisor Industrials M	_____ %
REAL ESTATE		
FHETX	Fidelity Advisor Real Estate M	_____ %
TECHNOLOGY		
SGTRX	Columbia Seligman Global Technology R	_____ %
FATEX	Fidelity Advisor Technology M	_____ %
WSTRX	Ivy Science & Technology R	_____ %
UTILITIES		
FRURX	Franklin Utilities R	_____ %
BALANCED		
CSIFX	Calvert Balanced A	_____ %
CBURX	Columbia Income Builder R	_____ %
ERIFX	Eaton Vance Balanced R	_____ %
FTSDX	Fidelity Advisor Strategic Div & Inc M	_____ %
FISRX	Franklin Income R	_____ %
TESRX	Franklin Mutual Shares R	_____ %
JDBRX	Janus Henderson Balanced R	_____ %
LIXRX	Lord Abbett Multi-Asset Income R3	_____ %
IBALX	Transamerica Multi-Managed Balanced A	_____ %
WORLD ALLOCATION		
MDLOX	BlackRock Global Allocation A	_____ %
FMQSX	Franklin Mutual Quest R	_____ %
TACTICAL		
GASRX	Aberdeen Diversified Alternatives R	_____ %
GLSRX	Aberdeen Focused U.S. Equity R	_____ %
ALIRX	AC Alternatives Equity Market Neutral R	_____ %
LPERX	ALPS I Red Rocks Listed Private Equity R	_____ %
PATRX	PIMCO All Asset R	_____ %
IMUAX	Transamerica Multi-Manager Alternative Strategies A	_____ %
SHORT-TERM BOND		
LDLRX	Lord Abbett Short Duration Income R3	_____ %
MSDNX	MassMutual Premier Short-Duration Bd R3	_____ %
PLDRX	PIMCO Low Duration R	_____ %
PTSRX	PIMCO Short-Term R	_____ %

Investment Options continued on page 4 ►

3. Investment Options (Continued)

Please designate your allocations in whole percentages only. No matter which investment options are chosen, the total allocations must equal 100%, or the application will not be considered in good order.

TICKER	INVESTMENT OPTIONS	PERCENT
INTERMEDIATE BOND		
CUGUX	Columbia Quality Income R	_____ %
OPBNX	Invesco Oppenheimer Total Return Bond R	_____ %
JDFRX	Janus Henderson Flexible Bond R	_____ %
LAURX	Lord Abbett Income R3	_____ %
PTRRX	PIMCO Total Return R	_____ %
PBFRX	Pioneer Bond R	_____ %
WAPRX	Western Asset Core Plus Bond R	_____ %
MULTISECTOR BOND		
EVBRX	Eaton Vance Multi-Sector Income R	_____ %
FSIAX	Fidelity Advisor Strategic Income M	_____ %
LBNRX	Lord Abbett Bond-Debenture R3	_____ %
PONRX	PIMCO Income R	_____ %
STIRX	Pioneer Strategic Income R	_____ %
WORLD BOND		
PFRRX	PIMCO International Bond (USD-Hedged) R	_____ %
FGBRX	Templeton Global Bond R	_____ %
FRRGX	Templeton Global Total Return R	_____ %
EMERGING MARKETS BOND		
CMBRX	Columbia Emerging Markets Bond R	_____ %

TICKER	INVESTMENT OPTIONS	PERCENT
HIGH YIELD BOND		
CHBRX	Columbia High Yield Bond R	_____ %
IYHIX	Ivy High Income R	_____ %
LHYRX	Lord Abbett High Yield R3	_____ %
PHYRX	PIMCO High Yield R	_____ %
PWRAX	Power Income A	_____ %
INFLATION PROTECTION		
AIARX	American Century Inflation-Adjusted Bond R	_____ %
PRRRX	PIMCO Real Return R	_____ %
BANK LOAN		
OOSNX	Invesco Oppenheimer Senior Floating Rate R	_____ %
LRRRX	Lord Abbett Floating Rate R3	_____ %
GOVERNMENT BOND		
AGMWX	American Century Ginnie Mae R	_____ %
ERGOX	Eaton Vance Government Opportunities R	_____ %
CONVERTIBLE BOND		
LCFRX	Lord Abbett Convertible R3	_____ %
NONTRADITIONAL BOND		
PUBRX	PIMCO Dynamic Bond R	_____ %
MONEY MARKET		
GORXX	Federated Government Obligations Trust	_____ %
TOTAL INVESTMENT ELECTION		100%

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Investment Options are current as of 10/1/2019. Please see www.srsrivewell.com for any applicable changes.

4. Signatures

Account Holder's Signature	Date Signed
▶	▶

If your request is not in good order, how would you like us to notify you?

- Call me at ____ - ____ - ____
 Mail a letter to my address of record
 Contact my Registered Representative