

Mutual fund IRA dollar cost averaging program form



Mail to: P.O. Box 9261, Des Moines, IA 50306-9261
Overnight: 8300 Mills Civic Pkwy, West Des Moines, IA 50266-3833
Phone: 1-866-747-3421 | Email: SecuritiesPi@sfgmembers.com

Instructions

Use this form to establish, change or cancel a dollar cost averaging (DCA) program.

Note: This program is not available if you have elected the automatic portfolio rebalancing program. The minimum account value to initiate a DCA program is \$10,000. The minimum amount of setup time for any DCA program is three (3) months. There is no maximum amount of setup time.

Future contributions received without express written allocation instructions will be directed into the DCA target funds.

1. Account information

Please provide all requested information.

Account number			
Account Holder's name (first, middle initial, last)			Social Security number
Street address			Apartment/Suite number
City	State	ZIP	Phone number

2. Dollar cost averaging instructions

For questions or assistance, please call the Service Center at 866-747-3421. Any fund may be elected as the DCA source fund, but the elected "source fund" must have a minimum balance of \$1,200. The minimum amount that may be transferred via DCA monthly is \$100, quarterly is \$300, semi-annually is \$600, and annually is \$1,200.

A. Please elect only one option:

- Establish** a dollar cost averaging program – complete remainder of this form.
- Change** the existing dollar cost averaging program – complete remainder of this form.
- Cancel** the existing dollar cost averaging program and DO NOT ADD a new program – move to signature section of this form.

B. Dollar cost averaging Instructions:

Dollar cost averaging will occur on the **Account Anniversary** of the month according to the elected frequency, provided that it is a business day. Please consult the sales materials provided at purchase for details regarding this feature as well as restrictions, minimum or maximum limitations, fees, and other applicable information. Dollar cost averaging cannot be elected if you participate in automatic portfolio rebalancing.

I would like to dollar cost average \$ _____ or Equal proportion based on the frequency selected below from the following source fund (select ONE fund that you want to DCA from): _____

- Monthly, for _____ months (3-month minimum) Quarterly, for _____ quarters
- Semi-annually, for _____ semesters Annually, for _____ years or Until source account is depleted

Please elect the target fund(s) (fund(s) you want to DCA into) by making a selection(s) on the following pages.

Dollar cost averaging does not assure a profit or protect against loss in a declining market. Such a plan involves continuous investment in securities regardless of fluctuating price levels of such securities. Investors should consider their financial ability to continue purchases through periods of low price levels.



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NOT FDIC/NCUA INSURED, MAY LOSE VALUE INCLUDING LOSS OF PRINCIPAL, NO BANK/CU GUARANTEE, NOT A DEPOSIT, NOT INSURED BY ANY FEDERAL GOVERNMENT AGENCY.

3. Fund options

Please designate your allocations in whole percentages only. No matter which funds are chosen, the total allocations must equal 100%, or the application will not be considered in good order.

LiveWell® Models

Accumulation	Percent	Income	Percent
Conservative	_____ %	High Income	_____ %
Moderate	_____ %	Diversified Income	_____ %
Moderate Growth	_____ %		
Growth	_____ %		
Aggressive Growth	_____ %		

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The model portfolios will not automatically rebalance unless automatic portfolio rebalancing is elected.

Ticker	Fund name	Percent
Large Value		
AVURX	American Century Value R	_____ %
MDDVX	BlackRock Equity Dividend A	_____ %
RSOXX	Columbia Dividend Opportunity R	_____ %
SLVRX	Columbia Select Large-Cap Value R	_____ %
LAVRX	Lord Abbett Fundamental Equity R3	_____ %
PQIRX	Pioneer Equity Income R	_____ %
PEIOX	Principal Equity Income R3	_____ %
Large Blend		
AICFX	American Funds Invmt Co of Amer F1	_____ %
WSHFX	American Funds Washington Mutual F1	_____ %
LMMRX	ClearBridge Dividend Strategy R	_____ %
CCCRX	Columbia Contrarian Core R	_____ %
FRDRX	Franklin Rising Dividends R	_____ %
VADRX	Invesco Equally-Weighted S&P 500 R	_____ %
OMGNX	Invesco Main Street R	_____ %
MFVNX	MassMutual Select Equity Opports R3	_____ %
MMINX	MM S&P 500® Index R3	_____ %
PSPRX	PIMCO StocksPLUS R	_____ %
PCAOX	Principal Capital Appreciation R3	_____ %
Large Growth		
ACARX	Alger Capital Appreciation Instl R	_____ %
ADRRX	American Century Disciplined Growth R	_____ %
AULRX	American Century Ultra R	_____ %
GFAFX	American Funds Growth Fund of Amer F1	_____ %
LMPLX	ClearBridge Large Cap Growth R	_____ %
FAGOX	Fidelity Advisor Growth Opportunities M	_____ %
FNITX	Fidelity Advisor New Insights M	_____ %
FDNRX	Franklin DynaTech R	_____ %
LGLRX	Lord Abbett Growth Leaders R3	_____ %
MBCNX	MassMutual Blue Chip Growth R3	_____ %

Risk-based allocation funds

Ticker	Fund name	Percent
FTTNX	Fidelity Advisor Asset Manager 30% M	_____ %
FFTMX	Fidelity Advisor Asset Manager 50% M	_____ %
FTASX	Fidelity Advisor Asset Manager 70% M	_____ %
FEYTX	Fidelity Advisor Asset Manager 85% M	_____ %

Target date allocation funds

Ticker	Fund name	Percent
ARCRX	American Century One Choice 2030 R	_____ %
ARDRX	American Century One Choice 2040 R	_____ %
ARFWX	American Century One Choice 2050 R	_____ %
ARGRX	American Century One Choice 2060 R	_____ %
ARSRX	American Century One Choice in Retirement R	_____ %

Ticker	Fund name	Percent
PFGRX	Pioneer Fundamental Growth R	_____ %
PGBEX	Principal Blue Chip Fund R3	_____ %
RRBGX	T. Rowe Price Blue Chip Growth R	_____ %
RRGSX	T. Rowe Price Growth Stock R	_____ %

Mid Value

AMVRX	American Century Mid Cap Value R	_____ %
JDPRX	Janus Henderson Mid Cap Value R	_____ %
IIVAX	Transamerica Small/Mid Cap Value A	_____ %

Mid Blend

LMREX	ClearBridge Mid Cap R	_____ %
MDKTX	MM S&P® Mid Cap Index R3	_____ %

Mid Growth

ERSMX	Eaton Vance Atlanta Capital SMID-Cap R	_____ %
KAUFX	Federated Hermes Kaufmann R	_____ %
FITIX	Fidelity Advisor Mid Cap II M	_____ %
OEGNX	Invesco Discovery Mid Cap Growth R	_____ %
JDMRX	Janus Henderson Enterprise R	_____ %
PFPX	Principal MidCap Growth R3	_____ %

Small Value

FCVTX	Fidelity Advisor Small Cap Value M	_____ %
JDSRX	Janus Henderson Small Cap Value R	_____ %
ROFRX	Royce Opportunity R	_____ %

Small Blend

OSCNX	Invesco Main Street Small Cap R	_____ %
SMERX	Invesco Small Cap Equity R	_____ %

Small Growth

LMPOX	ClearBridge Small Cap Growth R	_____ %
JGMRX	Janus Henderson Triton R	_____ %
PSBMX	Principal SmallCap R3	_____ %

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3. Fund options (continued)

Please designate your allocations in whole percentages only. No matter which funds are chosen, the total allocations must equal 100%, or the application will not be considered in good order.

Ticker	Fund name	Percent
International Large		
AEGFX	American Funds Europacific Growth F1	_____ %
LMGRX	ClearBridge International Growth R	_____ %
FIATX	Fidelity Advisor Intl Capital App M	_____ %
OIDNX	Invesco International Diversified R	_____ %
QIVNX	Invesco International Equity R	_____ %
OIGNX	Invesco Oppenheimer International Growth R	_____ %
JDIRX	Janus Henderson Overseas R	_____ %
PINRX	Principal Diversified International R3	_____ %
RRIGX	T. Rowe Price International Value Equity R	_____ %
TEFRX	Templeton Foreign R	_____ %
TRWAX	Transamerica International Equity A	_____ %
International Small		
WPVAX	abrdn International Small Cap R	_____ %
FTISX	Fidelity Advisor Intl Small Cap M	_____ %
OSMNX	Invesco International Small-Mid Company R	_____ %
Emerging Markets		
GOPRX	abrdn China A Share Equity Fund R	_____ %
GEMRX	abrdn Emerging Markets R	_____ %
AEMRX	American Century Emerging Markets R	_____ %
CEMRX	Columbia Emerging Markets R	_____ %
TDMRX	Templeton Developing Markets R	_____ %
World Stock		
NPFFX	American Funds New Perspective F1	_____ %
MDGCX	BlackRock Advantage Global A	_____ %
FEATX	Fidelity Advisor® Emerging Asia M	_____ %
FMURX	Franklin Mutual European R	_____ %
OGLNX	Invesco Global R	_____ %
GLVNX	Invesco Global Focus R	_____ %
OGINX	Invesco Global Opportunities R	_____ %
JDWRX	Janus Henderson Global Research R	_____ %
Commodities/Precious Metals		
BRCRX	Invesco Balanced-Risk Commodity Strat R	_____ %
OGMNX	Invesco Gold & Special Minerals R	_____ %
PCSRX	PIMCO Commodity Real Return Strategy R	_____ %
Communications		
FTUTX	Fidelity Advisor Telecommunications M	_____ %
Energy		
SPMWX	Invesco SteelPath MLP Select 40 R	_____ %
Natural Resources		
FMFTX	Fidelity Advisor Materials M	_____ %

Ticker	Fund name	Percent
Financial		
FAFSX	Fidelity Advisor Financial Services M	_____ %
Health		
ERHSX	Eaton Vance Worldwide Health Science R	_____ %
FBTTX	Fidelity Advisor Biotechnology M	_____ %
Industrials		
FCLTX	Fidelity Advisor Industrials M	_____ %
Real Estate		
ARYWX	American Century Global Real Estate R	_____ %
RRRSX	DWS RREEF Real Estate Securities R	_____ %
FHETX	Fidelity Advisor Real Estate M	_____ %
PGRKX	Principal Global Real Estate Securities R3	_____ %
PRERX	Principal Real Estate Securities R3	_____ %
Technology		
SGTRX	Columbia Seligman Global Technology R	_____ %
FATEX	Fidelity Advisor Technology M	_____ %
Utilities		
FRURX	Franklin Utilities R	_____ %
Balanced		
CBURX	Columbia Income Builder R	_____ %
ERIFX	Eaton Vance Balanced R	_____ %
FTSDX	Fidelity Advisor Strategic Div & Inc M	_____ %
FISRX	Franklin Income R	_____ %
JDBRX	Janus Henderson Balanced R	_____ %
LIXRX	Lord Abbett Multi-Asset Income R3	_____ %
IBALX	Transamerica Multi-Managed Balanced A	_____ %
Socially Responsible		
AFDRX	American Century Sustainable Equity R	_____ %
GIRRX	abrdn Emerging Markets Sustainable Leaders R	_____ %
GLSRX	abrdn US Sustainable Leaders Smaller Companies R	_____ %
CSIFX	Calvert Balanced A	_____ %
CCAFX	Calvert Mid-Cap A	_____ %
DESRX	DWS ESG Core Equity R	_____ %
CBPRX	Pioneer Balanced ESG R	_____ %
PIORX	Pioneer R	_____ %
Tactical		
PATRX	PIMCO All Asset R	_____ %
PNARX	Principal Spectrum Preferred and Cap Sec Income R3	_____ %

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3. Fund options (continued)

Please designate your allocations in whole percentages only. No matter which funds are chosen, the total allocations must equal 100%, or the application will not be considered in good order.

Ticker	Fund name	Percent
World Allocation		
MDLOX	BlackRock Global Allocation A	_____ %
FMQSX	Franklin Mutual Quest R	_____ %
Bank Loan		
LRRRX	Lord Abbett Floating Rate R3	_____ %
Convertible Bond		
LCFRX	Lord Abbett Convertible R3	_____ %
Emerging Markets Bond		
CMBRX	Columbia Emerging Markets Bond R	_____ %
Government Bond		
AGMWX	American Century Ginnie Mae R	_____ %
ERGOX	Eaton Vance Government Opportunities R	_____ %
High Yield Bond		
CHBRX	Columbia High Yield Bond R	_____ %
KHYRX	DWS High Income R	_____ %
LHYRX	Lord Abbett High Yield R3	_____ %
PHYRX	PIMCO High Yield R	_____ %
Inflation Protection		
AIARX	American Century Inflation-Adjusted Bond R	_____ %
PRRRX	PIMCO Real Return R	_____ %
Intermediate Bond		
OPBNX	Invesco Core Bond R	_____ %
OFINX	Invesco Intermediate Bond Factor Fund R	_____ %

Ticker	Fund name	Percent
JDFRX	Janus Henderson Flexible Bond R	_____ %
LAURX	Lord Abbett Income R3	_____ %
PTRRX	PIMCO Total Return R	_____ %
PBFRX	Pioneer Bond R	_____ %
PIOOX	Principal Core Fixed Income R3	_____ %
WAPRX	Western Asset Core Plus Bond R	_____ %
Multisector Bond		
CSNRX	Columbia Strategic Income R	_____ %
FSIAX	Fidelity Advisor Strategic Income M	_____ %
LBNRX	Lord Abbett Bond-Debenture R3	_____ %
PONRX	PIMCO Income R	_____ %
STIRX	Pioneer Strategic Income R	_____ %
Nontraditional Bond		
PUBRX	PIMCO Dynamic Bond R	_____ %
Short-Term Bond		
LDLRX	Lord Abbett Short Duration Income R3	_____ %
MSDNX	MassMutual Short-Duration Bd R3	_____ %
PLDRX	PIMCO Low Duration R	_____ %
PTSRX	PIMCO Short-Term R	_____ %
World Bond		
PFRRX	PIMCO International Bond (USD-Hedged) R	_____ %
FGBRX	Templeton Global Bond R	_____ %
Total investment election		100%

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Funds are current as of 5/1/2022. Please see www.srsivewell.com for any applicable changes.

4. Signature

Either the Account Holder or Registered Representative with telephone authorization must sign this form.

Account Holder or Registered Representative's signature	Date signed (mm/dd/yyyy)

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